

# Avonmore Capital & Management Services Ltd.

Annexure-1

## Format of holding of Specified Securities

1. **Name of Entity: AVONMORE CAPITAL & MANAGEMENT SERVICES LTD**

2. **Script Code: 511589**

3. **Shareholding Pattern filed under: Reg. 31 (1) (b)**

If under 31 (1) (b) then indicate the report for the Quarter Ended: 31.12.2020

If under 31 (1)(c) then indicate date of allotment/extinguishment-N.A.

4. **Declaration: The Listed Entity is required to submit the following declaration to the extent of submission of information:**

| SR No. | Particulars  | Yes* | No* |
|--------|--|------|-----|
| 1      | Whether the Listed Entity has issued any partly paid up shares?                        |      | No  |
| 2      | Whether the Listed Entity has issued any Convertible Securities or Warrants?           |      | No  |
| 3      | Whether the Listed Entity has any shares against which depository receipts are issued? |      | No  |
| 4      | Whether the Listed Entity has any shares in Lock-in?                                   |      | No  |
| 5      | Whether any shares held by promoters are pledge or otherwise encumbered?               |      | No  |
| 6      | Whether the Listed Entity has any significant beneficial owner?                        |      | Yes |

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid-up shares, Outstanding convertible Securities/Warrants, depository receipts, locked-in shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as Zero' by default on submission of the format of holding of specified securities.

6. The tabular format for disclosure of holding of specified securities is as follows:-



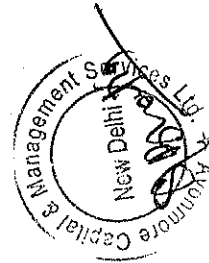
AVONMORE CAPITAL & MANAGEMENT SERVICES LTD.  
 SHAREHOLDING PATTERN AS ON 31st December, 2020  
 Table - I Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II)   | No of Shareholders (III) | No. of fully paid Equity Share Held (IV) | No. Of Party Paid Equity Shares Held (V) | No. Of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = IV+V+VI | Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2) | No of Voting Rights held in each class of securities (IX) |         |          | No of shares underlying convertible securities (including warrants) (X) | Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2) | No. of Locked in Shares (XII) |         | No of Shares pledged or otherwise encumbered (XIII) | No of Equity Shares held in Dematerialized form (XIV) |
|--------------|--------------------------------|--------------------------|--|--|---|--|---|---|---------|----------|---|--|-------------------------------|---------|---|---|
|              |                                |                          |  |  |   |  |   | Class X   | Class Y | Total    |   |  | Total as a % of (A+B+C)       | No. (a) |   |   |
| (A)          | Promoter & Promoter Group      | 4                        | 14586447                                 | 0  | 0   | 14586447                               | 60.0985   | 14586447  | 0       | 60.0985  | 0   | 0.0000   | 0                             | 0.0000  | 14586447  |   |
| (B)          | Public                         | 4888                     | 9684453                                  | 0  | 0   | 9684453                                | 39.9015   | 9684453   | 0       | 39.9015  | 0   | 0.0000   | 487500                        | 5.0235  | 9447730   |   |
| (C)          | Non Promoter - Non Public      | 0                        | 0  | 0  | 0   | 0                                      | 0.0000  | 0   | 0       | 0.0000   | 0   | 0.0000   | 0                             | 0.0000  | 0   |   |
| (C1)         | Shares Underlying DRs          | 0                        | 0  | 0  | 0   | 0                                      | 0.0000  | 0   | 0       | 0.0000   | 0   | 0.0000   | 0                             | 0.0000  | 0   |   |
| (C2)         | Share Held By Employees Trusts | 0                        | 0  | 0  | 0   | 0                                      | 0.0000  | 0   | 0       | 0.0000   | 0   | 0.0000   | 0                             | 0.0000  | 0   |   |
|              | Total                          | 4892                     | 24270900                                 | 0  | 0   | 24270900                               | 100.0000  | 24270900  | 0       | 100.0000 | 0   | 0.0000   | 487500                        | 2.0085  | 24034177  |   |
|              | Total A+B+C1+C2                |                          |  |  |   |  |   |   |         |          |   |  |                               |         |   |   |



**AVONMORE CAPITAL & MANAGEMENT SERVICES LTD.**  
**SHAREHOLDING PATTERN AS ON 31st December, 2020**  
**Table - II. Statement showing shareholding pattern of the Promoter and Promer Group**

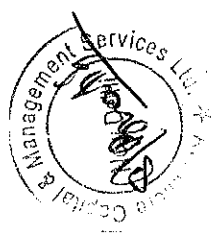
| S.No. | Category & Name of the Shareholder (i)          | PAN (ii)   | No of Shareholders (iii) | No. of fully paid Equity Share Held (iv) | No. Of Party Paid Equity Shares Held (v) | No. Of shares underlying Depository Receipts (vi) | Total Nos. Shares Held (vii) = (iv)+(v)+(vi) | Shareholding as a % of total no of Shares (calculated as per SCRR 1957)(viii) as a % of (A+B+C2) |          |       | No of Voting Rights held in each class of securities (ix) |         |                                 | No of shares underlying convertible securities (including warrants) (x) | Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (xi)=(x)/(A+B+C2) as a % of (A+B+C2) | No. of Locked in Shares (xii) |                                 | No of Shares pledged or otherwise encumbered (xiii) | No of Equity Shares Held in Dematerialized form (xiv) |          |  |
|-------|---|------------|--------------------------|--|--|---|--|--|----------|-------|---|---------|---------------------------------|---|---|-------------------------------|---------------------------------|---|---|----------|--|
|       |   |            |                          |  |  |   |  | Class X  | Class Y  | Total | Total as a % of (A+B+C)                                   | No. (a) | As a % of total shares held (b) |   |   | No. (e)                       | As a % of total shares held (b) |   |   |          |  |
| (1)   | Indian  |            |                          |  |  |   |  |  |          |       |   |         |                                 |   |   |                               |                                 |   |   |          |  |
| (a)   | Individual                                      |            |                          |  |  |   |  |  |          |       |   |         |                                 |   |   |                               |                                 |   |   |          |  |
|       | Gurpreet N S Sobti                              | ARIPSS400L |                          | 2  | 2081315                                  | 0   | 2081315                                      | 8.5754   | 2081315  | 0     | 2081315   | 8.5754  | 0                               | 0.0000  | 8.5754  | 0                             | 0.0000                          | 0   | 0.0000  | 2081315  |  |
|       | Navjeet Singh Sobti                             | AAYP53411R |                          |  | 5000                                     | 0   | 5000   | 0.0206   | 5000     | 0     | 5000  | 0.0206  | 0                               | 0.0000  | 0.0206  | 0                             | 0.0000                          | 0   | 0.0000  | 5000     |  |
| (b)   | HUF   |            |                          |  | 2076315                                  | 0   | 2076315                                      | 8.5548   | 2076315  | 0     | 2076315   | 8.5548  | 0                               | 0.0000  | 8.5548  | 0                             | 0.0000                          | 0   | 0.0000  | 2076315  |  |
| (c)   | Central Govt./state Govt./ President Of India   |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (d)   | Financial Inst/banks                            |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (e)   | Any Other                                       |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
|       | Other - Body Corporate                          |            |                          |  |  |   |  |  |          |       |   |         |                                 |   |   |                               |                                 |   |   |          |  |
|       | Innovative Money Matters Pvt Ltd                | AAAGIZ156A |                          | 2  | 12505132                                 | 0   | 12505132                                     | 51.5231  | 12505132 | 0     | 12505132  | 51.5231 | 0                               | 0.0000  | 51.5231   | 0                             | 0.0000                          | 0   | 0.0000  | 12505132 |  |
|       | Rakam Infrastructures Private Limited           | AAACR4028G |                          |  | 9053010                                  | 0   | 9053010                                      | 37.2999  | 9053010  | 0     | 9053010   | 37.2999 | 0                               | 0.0000  | 37.2999   | 0                             | 0.0000                          | 0   | 0.0000  | 9053010  |  |
|       | Sub - Total                                     |            |                          | 4  | 3452122                                  | 0   | 3452122                                      | 14.2233  | 3452122  | 0     | 3452122   | 14.2233 | 0                               | 0.0000  | 14.2233   | 0                             | 0.0000                          | 0   | 0.0000  | 3452122  |  |
| (2)   | Foreign   |            |                          |  | 14586447                                 | 0   | 14586447                                     | 60.0985  | 14586447 | 0     | 14586447  | 60.0985 | 0                               | 0.0000  | 60.0985   | 0                             | 0.0000                          | 0   | 0.0000  | 14586447 |  |
| (a)   | Individual/(nri/ Foreign Individual)            |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (b)   | Government                                      |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (c)   | Institutions                                    |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (d)   | Foreign Portfolio Investor                      |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
| (e)   | Any Other                                       |            |                          |  | 0  | 0   | 0  | 0.0000   | 0        | 0     | 0   | 0.0000  | 0                               | 0.0000  | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0        |  |
|       | Sub - Total                                     |            |                          | 4  | 14586447                                 | 0   | 14586447                                     | 60.0985  | 14586447 | 0     | 14586447  | 60.0985 | 0                               | 0.0000  | 60.0985   | 0                             | 0.0000                          | 0   | 0.0000  | 14586447 |  |
|       | Total Shareholding of Promoter and Promer Group |            |                          |  |  |   |  |  |          |       |   |         |                                 |   |   |                               |                                 |   |   |          |  |



AVONMORE CAPITAL & MANAGEMENT SERVICES LTD.  
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Table - III Statement showing shareholding pattern of the Public shareholding

| S.No. | Category & Name of the Shareholder (I)                     | PAN (II)   | No of Shareholders (III) | No. of fully paid Equity Share Held (IV) | No. Of Partly Paid Equity Shares Held (V) | No. Of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = IV+V+VI | Shareholding as a % of total no of Shares (calculated as per SCFR 1957) (VIII) as a % of (A+B+C2) | No of Voting Rights held in each class of securities (IX) |         |       | Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (X)=VII+X as a % of (A+B+C2) | No. of Locked in Shares (XII) |                                 | No of Shares pledged or otherwise encumbered (XIII) | No of Equity Shares Held in Dematerialized form (XIV) |         |
|-------|--|------------|--------------------------|--|---|---|--|---|---|---------|-------|---|-------------------------------|---------------------------------|---|---|---------|
|       |  |            |                          |  |   |   |  |   | Class X   | Class Y | Total |   | No. (a)                       | As a % of total shares held (b) |   |   | No. (e) |
| (1)   | Institutions   |            |                          |  |   |   |  |   |   |         |       |   |                               |                                 |   |   |         |
| (a)   | Mutual Funds   |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (b)   | Venture Capital Funds                                      |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (c)   | Alternate Investment Funds                                 |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (d)   | Foreign Venture Capital Investors                          |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (e)   | Foreign Portfolio Investors                                |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (f)   | Financial Inst/banks                                       |            | 1                        | 2600                                     | 0   | 0   | 2600                                   | 0.0107  | 2600  | 0       | 0     | 0.0107  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (g)   | Insurance Companies  |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (h)   | Provident Funds/ Pension Fund                              |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (i)   | Any other  |            |                          |  |   |   |  |   |   |         |       |   |                               |                                 |   |   |         |
|       | Sub-total (B)(1)   |            | 1                        | 2600                                     | 0   | 0   | 2600                                   | 0.0107  | 2600  | 0       | 0     | 0.0107  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (2)   | Central Govt./state Govt./ President Of India              |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
|       | Sub-total (B)(2)   |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (3)   | Non-institutions   |            |                          |  |   |   |  |   |   |         |       |   |                               |                                 |   |   |         |
| (a)   | (i) Individual-Holding Norm. Val. upto Rs.2 lakhs          |            | 4726                     | 2767643                                  | 0   | 0   | 2767643                                | 11.4855   | 2767643   | 0       | 0     | 2767643   | 11.4855                       | 0                               | 0.0000  | 105043  | 2678521 |
|       | (ii) Individual-Holding Norm. Val. greater than Rs.2 lakhs |            | 33                       | 2266677                                  | 0   | 0   | 2266677                                | 9.3391  | 2266677   | 0       | 0     | 2266677   | 9.3391                        | 0                               | 0.0000  | 310387  | 2245377 |
|       | Dilipkumar, Lakhi  | AA8PL9618G |                          | 536263                                   | 0   | 0   | 536263                                 | 2.2095  | 536263  | 0       | 0     | 536263  | 2.2095                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Parmeet Kaur   | AJHPK0169L |                          | 446495                                   | 0   | 0   | 446495                                 | 1.8396  | 446495  | 0       | 0     | 446495  | 1.8396                        | 0                               | 0.0000  | 0   | 0.0000  |
| (b)   | Nbfc Registered With Rbi                                   |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (c)   | Employees Trust  |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (d)   | Overseas Depository Holding DRs                            |            | 0                        | 0  | 0   | 0   | 0                                      | 0.0000  | 0   | 0       | 0     | 0.0000  | 0                             | 0.0000                          | 0   | 0.0000  | 0       |
| (e)   | Any Other  |            |                          |  |   |   |  |   |   |         |       |   |                               |                                 |   |   |         |
|       | Other - Body Corp  |            | 52                       | 3940877                                  | 0   | 0   | 3940877                                | 15.8250   | 3940877   | 0       | 0     | 3940877   | 15.8250                       | 0                               | 0.0000  | 52050   | 3888827 |
|       | Desire Retail Private Limited                              | AADC03976C |                          | 447401                                   | 0   | 0   | 447401                                 | 1.8434  | 447401  | 0       | 0     | 447401  | 1.8434                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Km Enterprises Pvt Ltd                                     | AACK5607N  |                          | 1051190                                  | 0   | 0   | 1051190                                | 4.3311  | 1051190   | 0       | 0     | 1051190   | 4.3311                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Ramble Markets Private Limited                             | AADCR9087Q |                          | 966800                                   | 0   | 0   | 966800                                 | 3.9834  | 966800  | 0       | 0     | 966800  | 3.9834                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Veena Gases & Chemicals Private Limited                    | AACCV5706H |                          | 751755                                   | 0   | 0   | 751755                                 | 3.0974  | 751755  | 0       | 0     | 751755  | 3.0974                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Other - Clearing Member                                    |            | 20                       | 46278                                    | 0   | 0   | 46278                                  | 0.1907  | 46278   | 0       | 0     | 46278   | 0.1907                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Other - N.R.I - NON - REPATRIABLE                          |            | 11                       | 86827                                    | 0   | 0   | 86827                                  | 0.3577  | 86827   | 0       | 0     | 86827   | 0.3577                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Other - N.R.I - REPATRIABLE                                |            | 47                       | 484457                                   | 0   | 0   | 484457                                 | 1.9960  | 484457  | 0       | 0     | 484457  | 1.9960                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Other - Individual HUF                                     |            | 98                       | 189094                                   | 0   | 0   | 189094                                 | 0.7791  | 189094  | 0       | 0     | 189094  | 0.7791                        | 0                               | 0.0000  | 0   | 0.0000  |
|       | Sub-total (B)(3)   |            | 4987                     | 9584453                                  | 0   | 0   | 9584453                                | 39.9015   | 9584453   | 0       | 0     | 9584453   | 39.9015                       | 0                               | 0.0000  | 487500  | 9147753 |
|       | Total Public Shareholding                                  |            | 4988                     | 9584453                                  | 0   | 0   | 9584453                                | 39.9015   | 9584453   | 0       | 0     | 9584453   | 39.9015                       | 0                               | 0.0000  | 467500  | 9147753 |



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Table - IV Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

| S.No. | Category & Name of the Shareholder (I)   | PAN (II) | No of Shareholders (III) | No. of fully paid Equity Share Held (IV) | No. of Partly Paid Equity Shares Held (V) | No. Of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = IV+V+VI | Shareholding % as of total no of Shares (VIII) as a % of (A+B+C2) | No of Voting Rights held in each class of securities (IX) |         |       | No of shares underlying outstanding convertible securities (including warrants) (X) | Shareholding as a % assuming full conversions of convertible securities (as a % of diluted equity capital) (XI)=VII+X as a % of (A+B+C2) | No. of Locked in Shares (XII) |                                 | No of Shares pledged or otherwise encumbered (XIII) | No of Equity Shares Held in Dematerialized form (XIV) |
|-------|--|----------|--------------------------|--|---|---|--|---|---|---------|-------|---|--|-------------------------------|---------------------------------|---|---|
|       |  |          |                          |  |   |   |  |   | Class X   | Class Y | Total |   |  | No. (a)                       | As a % of total shares held (b) |   |   |
| (1)   | Custodian/DR Holder  |          |                          |  |   |   |  |   |   |         |       |   |  |                               |                                 |   |   |
| (2)   | names<br>Employees Benefit Trust (under SEBI Based employees benefit ) Regulation 2014 |          |                          |  |   |   |  |   |   |         |       |   |  |                               |                                 |   |   |
| (a)   | names  |          |                          |  |   |   |  |   |   |         |       |   |  |                               |                                 |   |   |
|       | Total Non-Promoter Non Public shareholding C = C1+C2                                   |          |                          |  |   |   |  |   |   |         |       |   |  |                               |                                 |   |   |



| Sr. No                                 | Details of SBO      |             | Details of Registered Owner |                                   | Details of holding/exercise of right of the SBO in the reporting Company, whether director or indirect |                       |                        |                     |                                   | Date of creation/acquisition of significant beneficial interest |     |            |
|--|---------------------|-------------|-----------------------------|-----------------------------------|--|-----------------------|------------------------|---------------------|-----------------------------------|---|-----|------------|
|  | Name                | PAN         | Name                        | PAN                               | Share s  | Voting Rights         | Rights on Distribution | Exercise of control | Exercise of significant influence |   |     |            |
|  |                     | Nationality | Nationality                 |                                   |  | Whether by Virtue of: |                        |                     |                                   |   |     |            |
| <b>SIGNIFICANT BENEFICIAL INTEREST</b> |                     |             |                             |                                   |  |                       |                        |                     |                                   |   |     |            |
| 1                                      | GURPREET N S SOBTI  | ABJPS9400L  | India                       | GURPREET N S SOBTI                | ABJPS9400L   | India                 | 0.02                   | 0.02                | 0.02                              | yes   | yes | 31-03-1997 |
| 2                                      | GURPREET N S SOBTI  | ABJPS9400L  | India                       | INNOVATIVE MONEY MATTERS PVT. LTD | AAACI2156A   | India                 | 35.20                  | 35.20               | 35.20                             | yes   | yes | 14-02-2004 |
| 3                                      | GURPREET N S SOBTI  | ABJPS9400L  | India                       | RAKAM INFRASTRUCTURES PVT.LTD     | AAACR4028G   | India                 | 14.22                  | 14.22               | 14.22                             | yes   | yes | 30-03-2016 |
| 4                                      | NAVJEET SINGH SOBTI | AAAYPS3411R | India                       | NAVJEET SINGH SOBTI               | AAAYPS3411R  | India                 | 8.55                   | 8.55                | 8.55                              | yes   | yes | 31-03-1997 |
| 5                                      | NAVJEET SINGH SOBTI | AAAYPS3411R | India                       | INNOVATIVE MONEY MATTERS PVT. LTD | AAACI2156A   | India                 | 2.10                   | 2.10                | 2.10                              | yes   | yes | 14-02-2004 |

